National Incident Management System (NIMS) Update

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Presentation Agenda

• Overview of PPD-8 National Preparedness
• NIMS Overview
• NIMS Update 2012
• New NIMS Training Program
• NIMS All-Hazards ICS-Position Specific Training
• NIMS Guidelines and Forms Update
• NIMS Resources Management
Overview Presidential Policy Directive 8 (PPD-8)
National Planning Frameworks

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April 2012
PPD-8 Components

• National Preparedness Goal (NPG)
• National Preparedness System (NPS)
• National Preparedness Report
• National Frameworks
• Federal Interagency Operational Plans
• Building and Sustaining Preparedness
National Preparedness System (NPS) Components

- Threat Hazard Identification and Risk Assessment (THIRA)
- Organization/Resource Guidance (NIMS)
- National Training and Education System (NTES)
- National Planning System (NPS)
- National Exercise Program (NEP)
- Corrective Action Program / Remedial Action Management Program (CAP-RAMP)
- Comprehensive Assessment System (CAS)
Identifying and Assessing Risk
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Planning to Deli
What is the National Planning Frameworks System?

- A Framework … not a Plan
- Written for two audiences
  - Senior elected and appointed officials
  - Emergency Management practitioners
- Emphasizes roles of the local governments, States, NGOs, individuals and the private sector
- Establishes Doctrine
  - Engaged partnership
  - Tiered response
  - Scalable, flexible, and adaptable operational capabilities
  - Unity of effort through unified command
  - Readiness to act
- Establishes planning as a critical element of effective prevention, protection, mitigation response and recovery
How a Framework is Organized

Core Document

Doctrine, organization, roles and responsibilities, response actions and planning requirements that guide national response and recovery

Emergency Support Function Annexes

Mechanisms to group and provide Federal resources and capabilities to support State and local responders
State & Local Leadership and the Framework

Effective, unified national response requires layered, mutually supporting capabilities

- **States** are sovereign entities, and the Governor has responsibility for public safety and welfare; States are the main players in coordinating resources and capabilities and obtaining support from other States and the Federal government
  - Governor
  - Homeland Security Advisor
  - Director State Emergency Management Agency
  - State Coordinating Officer

- **Local officials** have primary responsibility for community preparedness and response
  - Elected/Appointed Officials (Mayor)
  - Emergency Manager
  - Public Safety Officials

- **Individuals and Households** are key starting points for emergency preparedness and support community efforts
Effective, unified national response requires layered, mutually supporting capabilities

- **The Private Sector** supports community response, organizes business to ensure resiliency, and protects and restores critical infrastructure and commercial activity.
- **NGOs** perform vital service missions
  - Assist individuals who have special needs
  - Coordinate volunteers
  - Interface with government response officials at all levels
FEMA works across the Whole Community (as directed by Presidential policy Directive (PPD)-8) to finish the series of new National Frameworks: *Prevention*, *Protection*, and *Mitigation* including a review and update of the existing National Response Framework (NRF) by the end of Fiscal Year 2012. The first drafts of the Prevention, Protection, Response, and Mitigation were sent out for review in 06 March of 2012. The National Disaster Recovery Framework (NDRF) was recently released.

http://www.fema.gov/emergency/nrf/

www.fema.gov/PPD8
National Incident Management System (NIMS) Overview
<table>
<thead>
<tr>
<th>What NIMS Is:</th>
<th>What NIMS Is NOT:</th>
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- A set of preparedness concepts and principles for all hazards  
- Essential principles for a common operating picture and interoperability of communications and information management  
- Standardized resource management procedures enabling coordination among different jurisdictions/organizations  
- Scalable, can be used for all incidents (from day-to-day to large-scale)  
- A dynamic system promoting ongoing management and maintenance | - A response plan  
- Only used during large-scale incidents  
- A communications plan  
- Only applicable to certain emergency management/incident response personnel  
- Only the Incident Command System or an organization chart  
- A static system |
NRF/NIMS Coordination Structures

NIMS Role

Multiagency Coordination System

Multiagency Coordination Entity
- Strategic coordination

Multiagency Coordination Centers/EOCs
- Support and coordination

Incident Command
- Directing on-scene emergency management

A Local Area Command is established when needed due to the complexity or number of incidents.

The NRF includes slight variations of the base structure for terrorism response and Federal-to-Federal support.
The December 2008 version of NIMS reorders the key components to emphasize the role of preparedness and to mirror the progression of an incident. This alleviates the misperception that NIMS is only the Incident Command System (ICS).

ORDER OF SECTIONS IN 2008

- Preparedness
- Communications and Information Management
- Resource Management
- Command and Management
- Ongoing Management and Maintenance
- National Integration Center Supporting Technologies
Preparedness

- Highlights the importance of Preparedness within NIMS, and define its relationship to all other components. Example:
- Additional roles of elected and appointed officials, CEOs and Senior Managers to define their responsibilities prior to and during an incident.

**Major Responsibilities of Agency/Company Executives**

- Clearly state agency/jurisdiction policy
- Evaluate effectiveness and correct deficiencies
- Support a multiagency approach
It details the Key roles of NGOs and private sector, on how they should be integrated into preparedness efforts. Information on Continuity of Operations (COOP) planning to help ensure the maintenance of essential functions during incidents. Information on mutual aid and assistance agreements, describing the types of agreements and the potential elements that should be included.
Articulates the importance of Communications & Information Management and is comprised of three main sections.

Concepts and Principles contains the following subsections:
• Common Operating Picture
• Interoperability
• Reliability, Scalability, and Portability
• Resiliency and Redundancy
Resource Management

The resource management process can be separated into two parts:
• Preparedness (conducted on a continual basis)
• Response (managing resources during an incident)
Command and Management
Explains Command and Management concepts and principles. Clarifies the purpose of Area Command and how it fits into ICS
Explains the Multiagency Coordination System (MACS) section defining the process of Multiagency Coordination and the elements that make up the System

MAC Groups

- Major system elements within MACS include Joint Field Offices (JFOs), Emergency Operation Centers (EOCs) and communications/dispach centers.
NIMS Update 2012

- No new State 2012 metrics for FY 2012.
- 2013 State Metrics for 2013 are presently under discussion in the FEMA National Preparedness Division.
- 2012 update of the NIMSCAST module for federal, tribal, states, and locals is scheduled for March of 2012.
- NIMS ICS 300, 400 and ICS L-499 Courses are to be Given by TEEX for EMI Nationwide FY 2012 and 2013
NIMS Update 2012

• New International Emergency Management Standards (ISO 22320:2011) were released by the International Organization for Standardization (ISO).
  “http://www.iso.org/iso/pressrelease.htm?refid=Ref1496
The FEMA National Integration Center is presently reviewing the ISO standard.

• National NIMS Work Groups on Typing and Job Descriptions.
  - 6 out of the 10 work groups have submitted their work to FEMA for review before federal register submittal. The other 4 are expected to submit them the spring of 2012. Presently there are 145 resource types with 435 divisions developed.
NIMS Update 2012

• The National NIMS 12-2008 document is up for review in late Summer of 2012 in concurrence with the new National Frameworks development. There will be focus group input and a national draft review, followed by a formal review in the federal register.

http://www.fema.gov/emergency/nims/index.shtm
New National NIMS Training Program
NIMS Training Program

- A well-developed training program that facilitates NIMS training throughout the Nation.
- The National Integration Center (NIC) and Emergency Management Institute (EMI) develop NIMS-related training guidance and courses.
NIMS Training Program

- Supersedes *Five-Year NIMS Training Plan*
- Defines a national NIMS curriculum
- Identifies broad NIMS training goals and objectives
- Provides specifications for delivery of NIMS training, including:
  - Course objectives, Contact Hours, Instructor qualification guidelines
- Guides development of stakeholders’ long-term training plans, budgets, and schedules as well as grant qualifications and applications.
NIMS Core Curriculum

• Simplified categories for level of training:
  • Previous *Five-Year NIMS Training Plan:* Awareness, Advanced, or Practicum
  • Currently the *NIMS Training Program* is Baseline and Advanced
• Training recommendations based upon Incident Complexity: Type 1-5
NIMS Curriculum - Incident Complexity Types

**Type 1** - Most complex, requiring national resources for safe and effective management and operation

**Type 2** - Incident extends beyond the capabilities for local control and is expected to go into multiple operational periods

**Type 3** - Incident needs exceed capabilities, the appropriate ICS positions should be added to match the complexity of the incident

**Type 4** - Command staff and general staff functions are activated only if needed

**Type 5** - The incident can be handled with one or two single resources with up to six personnel
NIMS Core Curriculum: Incident Complexity determines Training

Incident Complexity:
- Type 1, 2, 3 (High)
- Type 4
- Type 5 (Low)

Training Levels:
- ICS-100
- ICS-200
- ICS-300
- ICS-400
- IS-700
- IS-800
- Position-Specific
NIMS Core Curriculum - ICS Training Recommendations

• Provides recommended progression of NIMS training from Baseline to Advanced (based upon Incident Complexity) for Field (ICS) Personnel
• Outlines Core Courses and Additional Courses based upon Incident Types
NIMS Core Curriculum - EOC Personnel Training

- Acknowledges that training needs for EOC Personnel may be different from training required for ICS/Field Personnel
- Advanced and Additional courses combine with specific training based upon expected role of personnel
- Training based on jurisdiction risk, not incident complexity
NIMS Training Program

- NIMS Core Curriculum Course Summaries
  - Expanded course and instructor information
  - Addition of Unit Leader and related positions
- FEMA Sponsored NIMS Coursework - NEW
  - Emergency Management Institute (EMI) and National Fire Academy (NFA) courses
- Variety of delivery methods
- Association to NIMS Components
NIMS ICS All-Hazard Position Specific Training
ICS Position Specific Training Basics

- NIMS ICS Position Specific training is designed to provide all-hazards competencies and behaviors for the eight Command and General Staff positions and for specific unit leaders under ICS.
- The competencies in the training are focused around the ability of the student to assume the position responsibilities, lead assigned personnel, communicate effectively, and to ensure the completion of assigned actions to meet identified objectives for the position.
ICS Position Specific Training Basics

- NIMS ICS Position Specific training should be completed by personnel who desire to eventually be certified as a member of a Type III Incident Management Team (IMT) or who desire to seek credentials/certification in an ICS Command and General Staff or unit leader position.
- Personnel qualification relies on a combination of training, operational experience (during exercises or incidents), job shadowing, and administrative requirements (such as agency association and criminal background checks).
ICS Position Specific Training Basics

- Credentialed personnel in an ICS Command and General Staff or unit leader position must supplement training for a candidate to meet minimum expectations to qualify for service in an all-hazards NIMS position during a complex multi-jurisdictional incident nationwide (incidents that require responders to hold credentials under the National Emergency Responder Credentialing System)

- Students who wish to complete NIMS ICS All-Hazards Command and General Staff and Unit Leader position specific training should have completed ICS-100, 200, 300, and 400 training
Course Status to Date

- NIMS ICS All-Hazards Incident Commander Course (L-950)
- NIMS ICS All-Hazards Operations Section Chief Course (L-958)
- All-Hazards Planning Section Chief Course (L-962)
- All-Hazards Logistics Section Chief Course (L-967)
- All-Hazards Finance/Administration Section Chief Course (L-973)
- All-Hazards Liaison Officer Course (L-956)
- All-Hazards Public Information Officer Course (L-952)
- All-Hazards Safety Officer Course (L-954)
Course Status to Date (Continued)

- All-Hazards Public Information Officer Course (L-952)
- All-Hazards Safety Officer Course (L-954)
- All-Hazards Resource Unit Leader Course (L-965)
- All-Hazards Situation Unit Leader Course (L-964)
- All-Hazards Communication Unit Leader Course (L-969)
- All-Hazards Supply Unit Leader Course (L-970)
- All-Hazards Finance/Administration Unit Leader Course (L-975)
- All-Hazards Division/Group Supervisor Course (L-960)
- All-Hazards Facility Unit Leader Course (L-971)
Assumptions

- More training alone will not necessarily “qualify” a person to serve in an ICS position
  - Personnel qualification relies on a combination of training, operational experience, field mentoring, participation in drills, exercises, ICS simulations, etc.

- NIMS ICS Position Specific Training should be conducted by qualified instructors (minimum instructor standards need to be established and adhered to)

- DHS/FEMA should have one agreed upon set of NIMS ICS Position Specific training materials based on all-hazards competencies and behaviors (THESE ARE THE MATERIALS)

http://training.fema.gov/emicourses/allhazardscourses.asp
Assumptions

- The completion of ICS-100, 200, 300 and 400 training does not certify or credential a person in an ICS position as part of the National Emergency Responder Credentialing System or in other words the completion of ICS training (100 thru 400) does not qualify someone to be an incident commander, or a planning section chief, etc.
Credentialing Guidelines

• The new guidelines originated from the 2004 credentialing doctrine in the National Interagency Incident Management System (NIIMS). As the development group looked at the issue, it concluded that credentialing has to cover federal, state, local, EMAC critical resources, volunteers and working personnel in critical infrastructure facilities. States will coordinate the entry system and the details of the badging.

• The New Credentialing Guideline --- Based on 2010 Public Comments and FEMA NP Deputy Administrator direction, the current document was revised to better address (1) Identity Badge issuance for responders, (2) Management of Responder Qualifications, and (3) Managing Access to Disaster sites.

• The new guidelines were released 08/20/2011.
Emergency Response
Field Operations Guide & NIMS Forms

- The FEMA ICS Field Operations Guide (FOG) Status – was out for Public Comment in the Federal Register presently and comments are being reviewed.
- ICS Forms Status –
  - Are finished and on line at the FEMA NIMS website.
- Area Command ICS Forms
  Area Command ICS forms are now in development and due out next year.
- EOC NIMS forms
  EOC NIMS forms are being developed by the national EOC Work Group and draft forms are due out next year.
NIMS Development and Implementation – Resource Management
NIMS Development and Implementation

NIMS Implementation:

- **Credentialing**
  - National Guidelines
- **Resource Typing**
  - Resource Definitions Inventory by NIMS Disciplines
- **Job Aids**
  - Emergency Responder Field Operations Guide
- **Mutual Aid Support**
  - Emergency Management Assistance Compact
  - International Association of Fire Chiefs
- **NIMSCAST**
  - 2011 Guidance
- **Training**
  - 700 Series Training
Resource Typing

- Categorizing, by capability, the resources requested, deployed, and used in incidents.

- Measurable standards identifying resource capabilities and performance levels serve as the basis for categories.

- Users at all levels rely on these standards to identify and inventory resources.
NIMS Development and Implementation – Resource Typing (cont.)

NIMS Discipline-specific Work Groups

– Members are practitioners in their discipline using experiences and lessons learned from their careers
– 263 Practitioners
– Representing 31 States and DC
– Affiliated with 150 Professional Organizations
NIMS Development and Implementation – Resource Typing (cont.)

NIMS Discipline-Specific Working Groups

- Animal Emergency Response
- Aviation Management
- Emergency Management
- Emergency Medical Services
- Firefighting / HAZMAT
- ICS Core Competencies and Qualifications Management
- Incident Management
- Law Enforcement
- Mass Care
- Medical / Public Health
- Public Works
- Search and Rescue
NIMS Development and Implementation – Resource Typing (cont.)

– Emergency Responder Field Operations Guide: Designed to assist responders in the use and application of NIMS during incidents regardless of type, size, or complexity.
– Provides EXAMPLES of Incident Command structures.
– Currently under final review
Mutual Aid Support

- Mutual aid agreements/assistance agreements between agencies, organizations, and jurisdictions provide a mechanism to quickly obtain emergency assistance
  – Personnel, Equipment, Materials, Other associated services
- Primary objective to facilitate rapid, short-term deployment of emergency support prior to, during, and after an incident.
Emergency Management Assistance Compact (EMAC)

- Interstate mutual aid agreement that allows States to assist one another in responding to all kinds of natural and manmade disasters. It is administered by the National Emergency Management Association (NEMA).
The International Association of Fire Chiefs (IAFC) is currently working on two projects to help the fire service's ability to support the National Response Framework (NRF) and implement concepts presented in the NIMS.

Emergency Management Committee (EMC) was created to help identify and solve problems when coordinating and responding to state-to-state mutual aid requests. “Go-Teams”
NIMS Development and Implementation - Mutual Aid – cont.

- National Fire Service Intrastate Mutual Aid System (IMAS)
  - Supports the creation of statewide mutual aid response system throughout the country.
NIMS Development and Implementation – Training

- IS-701: NIMS Multiagency Coordination System
- IS-702: NIMS Public Information Systems
- IS-703: NIMS Resource Management
- IS-704: NIMS Communication and Information Management
- IS-706: NIMS Intrastate Mutual Aid
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